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# Thermo-biomechanical coupling analysis for preventing tomato fruit cracking during ripening

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**Abstract:** Fruit cracking is closely related to the heat transfer resulting from sunlight, so a thermo-biomechanical coupling three-point bending FE analysis in the tomato pericarp sample was performed. Results showed that the established FE model was capable of reproducing the experimental probe loading force-pericarp deflection and the crack propagation length-pericarp deflection curves in deflection deformation up to 6 mm, with average relative errors of 3.0 % and 4.3 %, respectively. The propagation area and volume of the crack in pericarp model were gradually sensitive to the temperature gradient acting on the exocarp surface and fruit ripeness. Three obtained linear mathematical models can quantitatively predict the cracking status of the pericarp under different temperature gradient levels when the sunlight caused a uniform temperature increase on the exocarp surface. The tomato had a better anti-cracking ability when the sunlight caused a non-uniform temperature increase on the exocarp surface. This study provides a basis for preventing the cracking of tomato fruit during development by controlling the environment.

**Keywords:** Fruit cracking; Heat transfer properties; Thermo-biomechanical couple; Temperature gradient; Finite element analysis

### Nomenclature

$C_p$	heat capacity of pericarp, J kg <sup>-1</sup> °C <sup>-1</sup>	$T_1$	dummy variable 1 of the temperature gradient
$C_{ex}$	heat capacity of exocarp, J kg <sup>-1</sup> °C <sup>-1</sup>	$T_2$	dummy variable 2 of the temperature gradient
$C_{me}$	heat capacity of mesocarp, J kg <sup>-1</sup> °C <sup>-1</sup>	$T'$	heat transfer rate
$C_l$	heat capacity of sapphire, J kg <sup>-1</sup> °C <sup>-1</sup>	$T_p$	tomato pericarp temperature, °C
$DSC_p$	heat flow of pericarp, W g <sup>-1</sup>	$t$	heat transfer time in the pericarp, s
$DSC_l$	heat flow of sapphire, W g <sup>-1</sup>	$V_c$	total crack volume in pericarp model, mm <sup>3</sup>
$DSC_s$	heat flow of empty crucible, W g <sup>-1</sup>	$\nu_{ex}$	poisson's ratio of exocarp
$E_{ex}$	elastic modulus of exocarp, MPa	$\nu_{me}$	poisson's ratio of mesocarp
$E_{me}$	elastic modulus of mesocarp, MPa	$V_{iexbefore}$	volume of the $i_{th}$ cracked element before crack propagation in the exocarp model
$F$	maximum loading force, N	$V_{jmebefo}$	volume of the $i_{th}$ cracked element before crack propagation in the mesocarp model
$f$	number of cracked elements in the front view of the mesocarp model	$V_{iexafter}$	volume of the $i_{th}$ cracked element after crack propagation in the exocarp model
$G_{fex}$	fracture energy of exocarp, mJ mm <sup>-2</sup>	$V_{jmeafter}$	volume of the $i_{th}$ cracked element after crack propagation in the mesocarp model
$G_{fme}$	fracture energy of mesocarp, mJ mm <sup>-2</sup>	$m$	number of crack elements in mesocarp model
$G$	energy release rate	$m_l$	mass of the sapphire, mg
$G_f$	fracture energy, mJ mm <sup>-2</sup>	$m_p$	mass of the pericarp, mg
$K_{ex}$	thermal conductivity of exocarp, W m <sup>-1</sup> °C <sup>-1</sup>	$\rho_e$	exocarp density, kg m <sup>-3</sup>
$K_{me}$	thermal conductivity of mesocarp, W m <sup>-1</sup> °C <sup>-1</sup>	$\rho_m$	mesocarp density, kg m <sup>-3</sup>
$K$	thermal conductivity of pericarp, W m <sup>-1</sup> °C <sup>-1</sup>	$\rho$	pericarp density, kg m <sup>-3</sup>
$k$	number of crack elements in exocarp model	$\sigma_{maxex}$	fracture stress of exocarp, MPa
$L_t$	total crack length in the front view of the pericarp model, mm	$\sigma_{maxme}$	fracture stress of mesocarp, MPa
$l_{ie}$	crack length of the $i_{th}$ cracked exocarp element, mm	$\sigma_{max}$	maximum principal stress at an element centroid
$l_{im}$	crack length of the $i_{th}$ cracked mesocarp element, mm	$\sigma_{max}^a$	maximum allowable principal stress (failure stress)
$L_d$	pericarp deflection, mm	$\nabla$	gradient operator
$q$	heat flux of the elements in the tomato pericarp model	$\Delta T$	temperature gradient acting on the exocarp surface, °C
$N$	the normal vector (dimensionless)	$\Delta T_i$	increases uniform at the rate of exocarp surface temperature, °C
$n$	number of cracked elements in the front view of the exocarp model	$\Delta T_{1,2,3,4}$	increases non-uniformly at the rate of exocarp surface temperature, °C
$Sc$	total crack area in pericarp model, mm <sup>2</sup>	$RS$	fruit ripening level
$s_{ie}$	crack area in the $i_{th}$ cracked element of the exocarp model	$RS_1$	light - red stage
$s_{jm}$	crack area in the $i_{th}$ cracked element of the mesocarp model	$RS_2$	riper than $RS_1$
$S$	center-point of the mesocarp model	$x, y, z$	Cartesian coordinate axis

## 26 **1. Introduction**

27         Cracking is a common phenomenon in fruit development and post-harvest processing, which seriously  
28 reduces fruit quality and marketability. Sunlight is the most important environmental factor inducing the  
29 biosynthesis of anthocyanin, flavanol, glucose and total sugar during fruit growth (Zoratti et al., 2014; Kawai  
30 et al., 2018), promoting lutein cycle (Ma and Cheng, 2004), and changing fruit weight, pericarp structure and  
31 soluble protein content (Woolf and Ferguson, 2000), but sometimes can cause some physiological diseases,  
32 especially fruit cracking (Morales-Quintana et al., 2020). After fruit surface cracks, the pericarp is extremely  
33 susceptible to be attacked by pathogenic bacteria and parasites and which leads to subsequent accelerated rot  
34 of the whole fruit. According to Chen et al. (2012), sunlight irradiation actually alters the temperature of fruit  
35 through heat transfer, which results in a temperature difference between different time periods during the day  
36 at the fruit surface as well as between the sun-exposed fruit surface and the shaded surface. This temperature  
37 difference in both regions with different sunlight intensity affects the texture mechanics, such as cuticle  
38 elasticity (Li et al., 2021), fruit elongation (Wala et al., 2022), and finally affects the quality and shape of fruits  
39 (Marsic et al., 2010). Therefore, it is important to investigate the biomechanical mechanism of tomato fruit  
40 cracking caused by sunlight.

41         Previous research on texture biomechanics of tomato fruit can be divided into two categories with focus  
42 on (1) the characterization of fruit thermo-mechanical properties and (2) the prediction of heat transfer behavior  
43 and fruit biomechanical response. Sangrame et al. (2000) proposed that the heat transfer coefficient,  
44 evaporation rate, and final concentration of tomato pulp varied within  $625.6 \sim 910.9 \text{ W m}^{-2} \text{ }^{\circ}\text{C}^{-1}$ ,  $13.22 \sim 33.72$   
45  $\text{kg h}^{-1}$  and  $8.02 \sim 19.21 \%$ , respectively. Kumar et al. (2008) found that the heat transfer coefficient of tomato  
46 can be deduced by a temperature gradient inside the fruit during the cooling process. Lu et al. (2009) reported  
47 that the hot-air heat treatment can reduce the tomato fruit hardness and thermal conductivity is one of the main  
48 factors affecting the heat uniformity among fruits and inside individual fruit. Pek et al. (2011) found that the  
49 stronger the tomato is directly radiated by sunlight, the higher the fruit surface temperature rises, and the  
50 temperature of non-shaded fruit is higher than the air temperature in greenhouse. Yang et al. (2020) proposed  
51 that the magnetic field treatment can lower the hydrogen bond energy of water molecules in tomato skin and  
52 further change the skin density, heat transfer coefficient, specific heat capacity, and melting point.  
53 Gladyszewska et al. (2011) proposed that the difference between tomato skin temperature and pedicel  
54 temperature decreased over time (fruit ripening), but a higher drop in temperature was noted when the fruit  
55 was stored at  $21^{\circ}\text{C}$  when compared to  $13^{\circ}\text{C}$ . Vidyarthi et al. (2019) showed that the infrared heating for 25 s

56 can lead to a sharp temperature rise on the tomato surface, but an insignificant temperature rise in fruit interior.  
57 Laguerre et al. (2022) found that the tomato variety and fruit time-temperature change had a significant  
58 influence on the evolution of aroma. Furthermore, Kabas et al. (2008) found that the critical height of tomato  
59 peel falling damage was 237 mm whereas the maximum contact force and the maximum fruit deformation  
60 were 23.81 N and 4.33 mm, respectively. Li et al. (2021) found that the crack propagation in tomato fruit was  
61 very sensitive to the tissue failure characteristics, such as failure stress and energy.

62 To sum up, the existing literature has made great progress in characterizing the heat transfer behavior in  
63 tomato fruit or predicting the biomechanical behaviors of tomato fruit by using FE method. Tomato fruit is an  
64 irregularly shaped ellipsoid and its internal structure is like a wheel-spoke. Whether the production of tomatoes  
65 is carried out in a greenhouse or field, the fruit temperature often changes with the continuous change of the  
66 environment temperature (5 ~ 40 °C) throughout the day (Woolf and Ferguson, 2000; Hernandez et al., 2015).  
67 However, the biomechanical mechanism of tomato fruit cracking under the coupling effect of environmental  
68 temperature field and structural stress field is still unclear. This knowledge gap limits the creation of effective  
69 technical solutions for reducing fruit cracking through environmental temperature control technology in tomato  
70 growth management (Hahn, 2011). Taking into account that (1) fruit cracking is accompanied by crack  
71 propagation in its internal tissues and that (2) precise experimental quantification of the fruit cracking level  
72 (e.g., crack volume) is challenging, the multi-field coupled FE analysis method that can correlate multiple  
73 physical fields (such as temperature field and stress field) to solve the nonlinear stress response of a structure  
74 under the action of external force (Celik et al., 2011; Fenyvesi et al., 2013) appears very attracting. Moreover,  
75 the stronger the sunlight, the faster the temperature around tomato plants rises. In these conditions with  
76 increased fruit temperature, there is a high transpiration intensity that results in an increased need for water  
77 within cells in the fruit mesocarp tissue, which causes more water absorption and swelling in the corresponding  
78 external exocarp and subsequently pericarp cracking (Khadiji-Khub, 2015). This process is similar to a three-  
79 point bending test on a rectangular tissue sample (Pitts et al., 2008). Additionally, a mechanical simulation  
80 performed on a tissue block rather than the entire fruit can significantly save the computation time while still  
81 producing results that are useful and instructive regarding fruit mechanics. Therefore, the purpose of this study  
82 is to investigate the biomechanical mechanism of tomato fruit cracking caused by the environmental  
83 temperature change using the thermo-biomechanical coupling FE method.

## 84 **2. Materials and methods**

## 85 **2.1 Investigation of the thermo-biomechanical and heat transfer properties of tomato tissues**

86 *Prediction of thermo-biomechanical parameters:* The elastic modulus, fracture energy, and failure stress  
87 of the exocarp and mesocarp were set as the dependent variables based on the thermo-biomechanical data of  
88 the tomato fruit at the light-red ripening stage previously measured by Liu et al. (2022). The temperature was  
89 set as the independent variable and six simple linear regression equations (Table 1) were obtained to predict  
90 the thermo-biomechanical parameters of tomato exocarp and mesocarp in the temperature range of 5 ~ 41 °C  
91 for the following thermo-biomechanical coupling FE model simulation. This temperature range covers the  
92 environment temperature change in a greenhouse under sunlight (Hernandez et al., 2015).

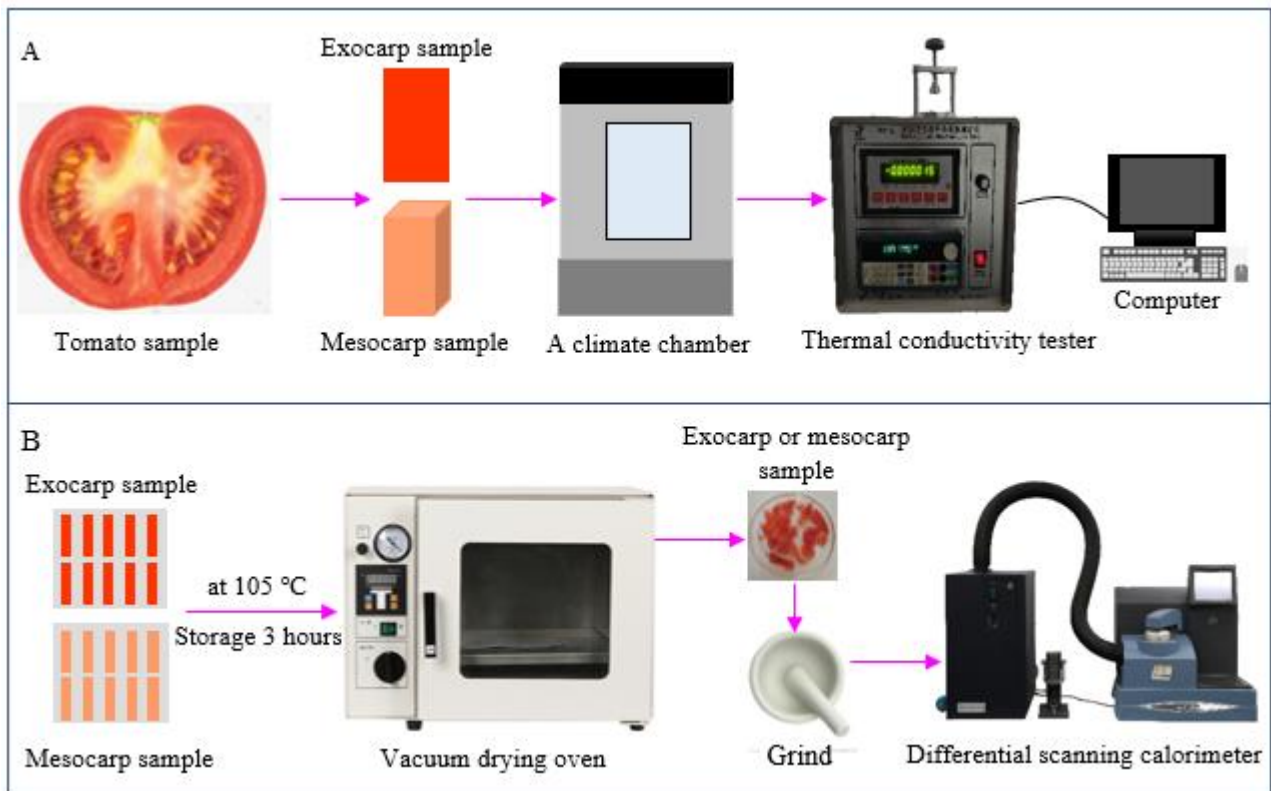
93 *Measurement of heat transfer parameters:* 60 fresh ‘DRK0568’ tomatoes at the light-red ripening stage  
94 were hand-harvested and then stored in a fridge at 4 °C. As shown in Fig.1A, the measurement method of the  
95 thermal conductivity of the tomato exocarp at 5 °C was taken as an example. The first step consists of using a  
96 self-developed double-blade cutter to prepare six standards rectangular exocarp tissue samples (length × width:  
97 20 mm × 15 mm) from a tomato fruit freshly removed from the refrigerator. Two samples were randomly  
98 taken, clamped to a measuring probe of a thermal conductivity tester (Model: DRE-III, Xiangtan Xiang  
99 Instrument Co., Ltd., China) and placed in a climate chamber in which the temperature, relative humidity, and  
100 storage time were set 5 °C, 70 %, and 0.5 h, respectively. Both the pre-conditioned samples and the measuring  
101 probe as a whole were taken out together from the climate chamber and the output wire in the measuring probe  
102 was then immediately connected to the thermal conductivity tester for recording the thermal conductivity of  
103 the exocarp at 5 °C. Subsequently, the thermal conductivity measurement of the remaining 2 groups of exocarp  
104 tissue samples was performed. Subsequently, the above process was repeated to measure the thermal  
105 conductivity of tomato mesocarp tissue at 5 °C. Finally, the same method was used to measure the thermal  
106 conductivity of the exocarp and mesocarp samples at 25 °C and 40 °C.

107 As shown in Fig. 1B, the measurement method in the specific heat capacity of the tomato exocarp was  
108 taken as an example. Firstly, 10 ~ 15 g of tomato exocarp tissue samples were prepared using a self-made blade  
109 cutter and placed in a vacuum drying oven (Model: DZX-6020B, Shanghai Nanrong Co., Ltd., Shanghai, China)  
110 for drying for 3 h at  $105 \pm 2$  °C until its mass remained unchanged (Huang et al., 2016). Subsequently, the  
111 dried tomato exocarp tissue samples were ground into powder, and 3.50 mg of the sample was put in a stainless-  
112 steel crucible for measuring the specific heat capacity of tomato exocarp tissue using a differential scanning  
113 calorimeter (DSC-Q2000, TA Instruments, USA). During measurement, the exocarp powder sample in a

114 crucible and some sapphire powder as a reference object were set to cool from room temperature (25 °C) to  
 115 5 °C, kept for 2 minutes, and then heated from 5 °C to 60 °C at a rate of 5 °C per minute. Three samples were  
 116 measured and the heat flow - temperature data was recorded in real time. Subsequently, the specific heat  
 117 capacity of the tomato exocarp tissue at 6 °C, 16 °C, 26 °C, 36 °C, and 46 °C were deduced by the following  
 118 Eq. (1) (Karthikeyan et al., 2010), respectively. Finally, the same procedure was repeated to measure the  
 119 specific heat capacity of the tomato mesocarp tissue at 6 °C, 16 °C, 26 °C, 36 °C, and 46 °C.

$$120 \quad C_p = \frac{m_L}{m_p} \times \frac{DSC_p - DSC_s}{DSC_L - DSC_s} \times C_L \quad (1)$$

121 The thermal conductivity and specific heat capacity of the tomato exocarp and mesocarp were  
 122 determined using the heat transfer parameters mentioned above were set as dependent variables whereas the  
 123 temperature was kept as the independent variable. Four simple linear equations (Table 1) were then regressed  
 124 to predict the heat transfer parameters of the tomato exocarp and mesocarp in the temperature range of 5 ~  
 125 41 °C for subsequent three-point bending thermo-biomechanical coupling FE simulation.



126  
 127 **Fig. 1** Measurement of the heat transfer properties of tomato tissues. (A) Measurement process of the tissue  
 128 thermal conductivity, (B) Measurement process of the tissue heat capacity.

## 129 2.2 Thermo-biomechanical coupling three-point bending simulation of tomato pericarp

130 The thermo-biomechanical coupling with FE simulation for the three-point bending experiment on a

131 block of tomato pericarp sample during temperature rising was performed by two steps: (1) The heat transfer  
132 system in a block of tomato pericarp FE model was established to obtain the temperature profile in the pericarp  
133 sample described in Section 2.3.1; (2) A three-point bending FE system was established to combine the  
134 obtained temperature profile as an imported predefined temperature field for performing the thermo-  
135 biomechanical coupling three-point bending FE simulation, as described in Section 2.3.2.

## 136 **2.2.1 Simulation of heat transfer in tomato pericarp**

### 137 **2.2.1.1 Heat transfer FE modeling**

138 *Geometric modeling:* Firstly, the pericarp of a tomato fruit was regarded as a combination of several  
139 curved blocks, each pericarp block only contained exocarp and mesocarp tissues, and the thickness of these  
140 tissues were measured. Subsequently, a block of tomato pericarp tissue with a curvature radius of 134 mm was  
141 geometrically modeled using some operations, such as scanning the contour points, creating spline curves, and  
142 extruding (Li et al., 2021) in the Abaqus software (Version 6.20, Dassault Systemes Simulia Corp., USA) (Fig.  
143 2A). In the pericarp model, the exocarp size (arc length  $\times$  width  $\times$  thickness) was 46 mm  $\times$  8 mm  $\times$  0.12 mm  
144 and the mesocarp size (arc length  $\times$  width  $\times$  thickness) was 46 mm  $\times$  8 mm  $\times$  4.39 mm.

145 *Definition of the heat transfer analysis step and interaction:* In the analysis step, the transient heat  
146 transfer analysis method was selected and the time step was set as 5 for simulating the temperature change of  
147 the pericarp in greenhouse tomato under sunlight between 9:00 am and 2:00 pm (5 hours). Subsequently, by  
148 considering that the elastic modulus of tomato exocarp tissue was higher than that of mesocarp tissue (Li et al.,  
149 2021) in the exocarp - mesocarp contact pair, the inner surface of the exocarp model was defined as the master  
150 surface and the outer surface of the mesocarp model was defined as the slave surface. The surface - to - surface  
151 discretization method was selected to generate the connection coefficients. Two separate surfaces, namely the  
152 master and slave surfaces, were connected together by a "Tie" constraint (Fig. 2A), so that the common node  
153 had same temperature value on the lower surface of the mesocarp and the upper surface of the exocarp. The  
154 position tolerance was defined as the computed default to ensure the nodes from the master surface within  
155 which all nodes on the slave surface were tied so that the nodes from the master surface intrigued with all the  
156 nodes of the slave surface had the same temperature.

157 *Meshing:* Firstly, the exocarp and mesocarp in the pericarp model were relatively regular and both tissues  
158 were meshed into eight-node linear heat transfer hexahedral elements DC3D8. Subsequently, in consideration  
159 that the element size in the master surface should be larger than that in the slave surface, and the element size



160 might affect the prediction accuracy and computation time of the FE model (Han et al., 2022), the element size  
 161 of the exocarp and mesocarp models were set as 0.4 - 0.35 mm, 0.42 - 0.38 mm, 0.45 - 0.4 mm, respectively.  
 162 Three simple simulations were performed, and it was then found that the setting element size had no significant  
 163 effect on the accuracy prediction but had a significant effect on the computation time. In order to save  
 164 computation time and ensure model convergence, in this study, the global sizes of the exocarp and mesocarp  
 165 were finally set to 0.42 mm and 0.38 mm, respectively, and 1598 and 22896 elements were generated for the  
 166 exocarp and mesocarp, respectively. The 3D heat transfer FE model of tomato pericarp is shown in Fig. 2B.

167 *Assumption:* In this study, several assumptions were given as follows: (i) the tomato exocarp and mesocarp  
 168 materials are homogeneous and isotropic; (ii) the heat load applying on the tomato exocarp is uniformly  
 169 distributed, and the heat transfer between adjacent elements in the tomato exocarp and mesocarp occurs only  
 170 by conduction and follows the heat flux Eq. (2) and the transient heat conduction Eq. (3); (iii) the sunlight  
 171 radiant heat only transmits to the tomato exocarp surface (i.e. zero penetration depth), and the effect of the heat  
 172 generated by the respiration of the tomato exocarp and mesocarp tissue on the heat transfer in the tomato  
 173 pericarp was ignored because the respiration heat in fruit tissue is very low; (iv) the density and Poisson's ratio  
 174 of the tomato pericarp tissue do not vary with the temperature.

$$175 \quad q = N \times (-k\Delta T) \quad (2)$$

$$176 \quad \rho C_p \frac{\partial T_p(x, y, z)}{\partial t} = -\nabla \times (K\Delta T)_{(x,y,z)} \quad (3)$$

### 177 **2.2.1.2 Validation of the heat transfer in tomato pericarp**

178 To validate the accuracy of the above developed heat transfer FE model, the simulation and experimental  
 179 results such as the exocarp surface temperature - time curve, mesocarp center-point temperature - time curve  
 180 of the heat conduction in elements of the tomato pericarp, with the outer surface subjected to an increasing  
 181 temperature from 5 °C to 25 °C at a rate of 5 °C per hour, were compared.

182 *Experiment method:* Firstly, 30 tomato samples of similar size and shape were selected from the fridge at  
 183 4 °C and six points were marked on the equatorial contour of each fruit (Fig. 2C). Subsequently, a fruit sample  
 184 was taken out from the fridge and its surface temperature was measured using an infrared camera (Model:  
 185 DM63-S, Dali Technology Co., Ltd., China), and the temperature of mesocarp at the first point with a depth of  
 186 4 mm was measured using a thermocouple (Model: WRNK-191, Guan Kuan Co., Ltd., China). Later the  
 187 remaining 29 fruit samples were measured using the same method, and the recorded average temperature in  
 188 the exocarp or mesocarp was considered as the initial temperature. All the 30 fruit samples were then put into

189 the climatic chamber at 5 °C with 70% relative humidity for one hour. Subsequently, a fruit sample was taken  
190 out from the chamber and its surface temperature and the center-point temperature of the mesocarp at the  
191 remaining 5 points were immediately measured using the infrared camera and thermocouple (Fig. 2C and 2D).  
192 To ensure the accuracy of the acquired data, the measurement process was conducted within 15 s and six  
193 samples were measured one by one at each storage temperature. The average measured temperature in the  
194 exocarp or mesocarp was the heat-transferred temperature. Finally, the temperature in the climatic chamber  
195 was increased by 5 °C per hour, and the heat-transferred temperatures in the exocarp surface and the center-  
196 point of the mesocarp were similarly measured after the fruit samples were stored at 10 °C, 15 °C, 20 °C, and  
197 25 °C, respectively. The exocarp surface temperature - time curve, mesocarp center-point temperature - time  
198 curve were obtained from the above experimental process.

199 *FE simulation method:* Firstly, the heat transfer parameters (specific heat capacity and thermal  
200 conductivity), physical and biomechanical parameters (such as density, Poisson's ratio, elastic modulus) of the  
201 exocarp and mesocarp models were defined based on the No.2 in Table 1. The heat transfer and biomechanical  
202 parameters of the exocarp and mesocarp tissues at different temperatures were deduced using the  
203 corresponding equations in Table 1. Subsequently, the time - temperature load amplitude was applied to the  
204 exocarp surface (No.2 in Table 1, Temperature gradient: 5 - 25 °C,  $\Delta T_i = 5$ ); and the initial temperature of the  
205 exocarp and mesocarp was defined in the predefined temperature field based on the measured initial  
206 temperature value in the above heat transfer experiment. After simulation, the exocarp surface temperature -  
207 time curve, and the mesocarp center-point temperature - time curve were extracted during post-processing.

### 208 **2.2.1.3 Simulation**

209 The temperature in a tomato-growing greenhouse under sunlight radiation always continuously increases from  
210 9:00 am to 2:00 pm (Cheng et al., 2021), but the increasing rate could be random (Cheng et al., 2021) because of  
211 different factors affecting fruit temperature such as the revolution and rotation of earth, cloud, wind, etc. Hence, the  
212 heat transfer FE simulation was designed to two scenarios (Table 1). For the first scenario, it was assumed that from  
213 9:00 am to 2:00 pm, the temperature of the exocarp surface increases uniformly every hour. During simulation the  
214 temperature gradients and increasing rates were set at three levels: 5 °C - 13 °C, 2 °C per hour; 5 °C - 25 °C, 5 °C  
215 per hour; 5 °C - 41 °C, 9 °C per hour. The fruit ripeness was set as two levels:  $RS_1$  and  $RS_2$ , and  $RS_1$  refers to the  
216 light-red stage while  $RS_2$  refers to a riper stage than  $RS_1$ . According to the data of Sirisomboon et al. (2012), the  
217 mechanical parameters of tissues at  $RS_2$  were 20% lower than those at  $RS_1$  (Table 1). Furthermore, because some  
218 literature showed that the ripeness had no significant effect on the heat transfer parameters of fruit (Correa et al.,

219 2012; Baptestini et al., 2017), the difference in the heat transfer parameters of the tomato tissues between two  
220 ripening stages was ignored here. A total of six simulations (2 ripeness levels  $\times$  3 temperature gradient levels) were  
221 performed. For the second scenario, it was assumed that from 9:00 am to 2:00 pm the temperature of the exocarp  
222 surface increases non-uniformly per hour. During the simulation, the temperature gradients and increasing rates  
223 were set as three levels: 5 °C - 13 °C with a continuous increase by 1, 1, 2, and 4 °C every 1 hour within 5 hours;  
224 5 °C - 25 °C with a continuous increase by 2, 4, 6, and 8 °C every 1 hour within 5 hours; 5 °C - 41 °C with a  
225 continuous increase by 6, 8, 10 and 12 °C every 1 hour within 5 hours. The ripeness level and its corresponding heat  
226 transfer parameters were set as the same as the first scenario. In total, six simulations (2 ripeness levels  $\times$  3  
227 temperature gradient levels) were performed. Table 1 shows the simulation design method and parameter settings  
228 of the 12 simulations described in this section.

## 229 **2.2.2 Three-point bending simulation**

### 230 **2.2.2.1 FE modeling of three-point bending loading system**

231 *Geometric modeling:* Firstly, on the basis of the developed tomato pericarp model, two fixed support  
232 points were created on the outer surface of the tomato exocarp to replace the support by the two support plates  
233 in the three-point bending experiment, and their distance was 28 mm (Fig. 2E). Subsequently, a 3D discrete  
234 rigid-body loading probe geometric model and a 3D rectangular shell plane crack (length  $\times$  width: 3  $\times$  0.5 mm)  
235 were created in Abaqus2020/CAE software for forming a 3D geometric model of three-point bending loading  
236 system (Fig. 2E).

237 *Definition of the static mechanics analysis step and interaction:* Firstly, the analysis process of the three-  
238 point bending system model was defined using the static and general analysis step and the time period was set  
239 to 5 subdivided steps corresponding to the pericarp heat transfer model in Section 2.2.1.1. Subsequently, a  
240 surface-to-surface contact pair algorithm was defined to the contact interaction between the end-surface  
241 (master surface) of the loading probe model and the upper surface (slave surface) of the mesocarp model. The  
242 normal contact behavior between the master and slave surfaces follows "hard" contact, and its tangential  
243 behavior follows the Coulomb interaction with a friction coefficient of 0.65 (Uba et al., 2020). The interactions  
244 between pre-crack and exocarp and pre-crack and mesocarp were defined based on a similar method applied  
245 by Liu et al. (2022).

246 *Boundary conditions and meshing:* Firstly, two fixed support points were forbidding to translate along the  
247 y-axis, while the rigid probe can only allow to move along the y-axis. Afterwards, the pericarp model was re-

248 meshed for stress analysis, and it requires that (i) the element type should be continuous stress element  
249 corresponding to its diffusive heat transfer element in the heat transfer model of Section 2.2.1.1, and (ii) the  
250 size and number of the elements in each tissue is same as that in the above heat transfer model. The exocarp  
251 and mesocarp were meshed into 8-node hexahedral linear reduced-integration C3D8R elements, and the probe  
252 was meshed into 1150 4-node bilinear quadrilateral rigid R3D4 elements with the global size of 0.42 mm. The  
253 pre-crack does not require meshing. The extended FE model of the three-point bending system is shown in Fig.  
254 2F.

255 *Assumption:* To simplify the following FE simulation, several additional assumptions were as follows: (i)  
256 Any physiological changes of pericarp tissue during this simulation were neglected because each three-point  
257 bending testing only took about 2 s, considered as very short timescale to cause any significant changes; (ii)  
258 the fracture energy (toughness) of fruit tissue is independent of the sample size and the pre-crack size; (iii) the  
259 exocarp and mesocarp tissues are linear elastic and ideal brittle solid materials before fracture; (iv) the pre-  
260 crack initiation in fruit tissue follows the maximum principal stress criterion in Eq. (4), and the crack evolution  
261 follows a linear softening law and a mode-independent and energy-based fracture criterion in Eq. (5).

$$262 \quad \sigma_{max} \approx \sigma_{max}^a \quad (4)$$

$$263 \quad G \geq G_f \quad (5)$$

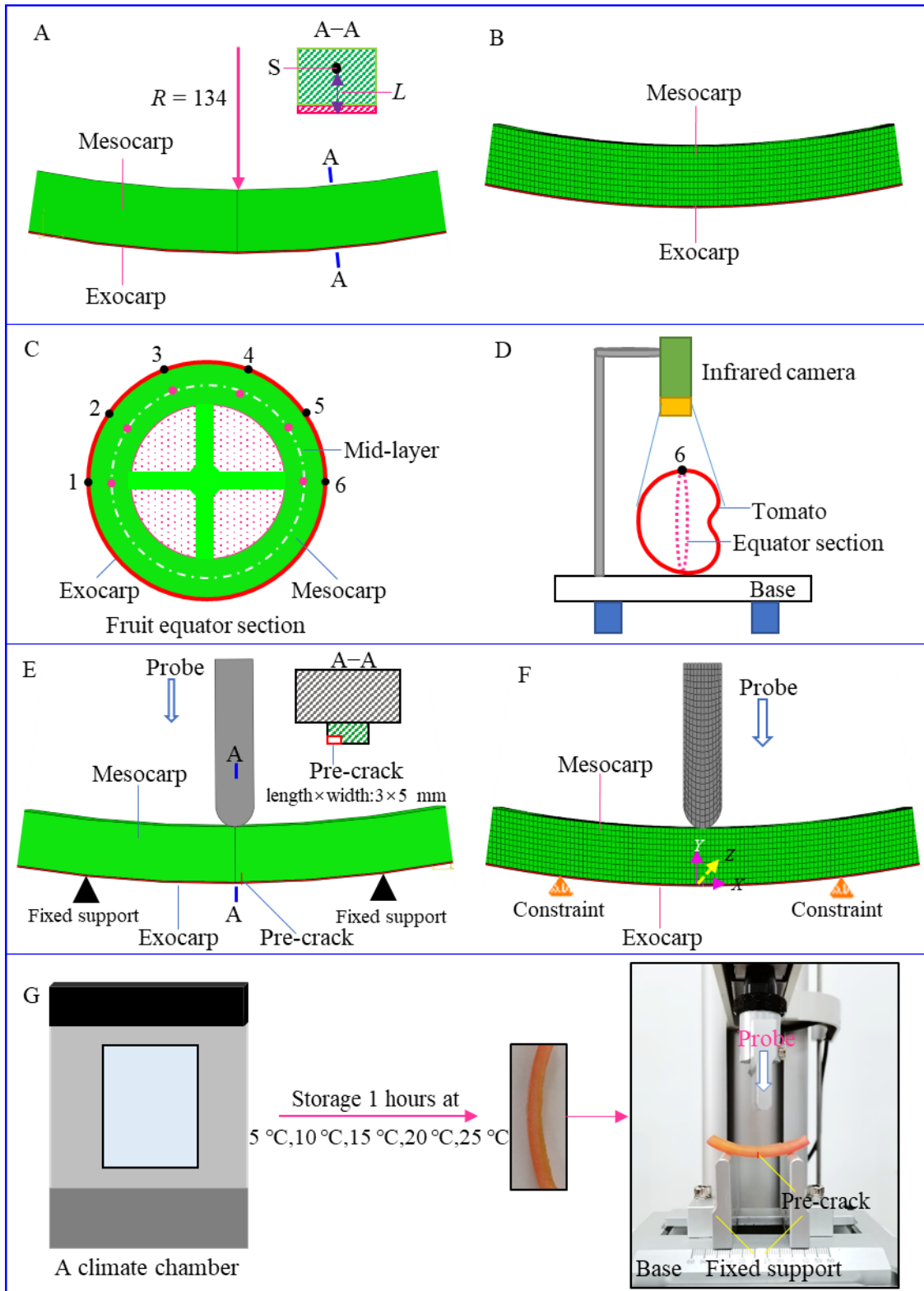
#### 264 **2.2.2.2 Validation of the thermo-biomechanical coupling three-point bending FE model**

265 The simulation and experimental results such as the probe loading force - pericarp deflection curve and  
266 the crack propagation length in front view - pericarp deflection curve of the three-point bending on the tomato  
267 pericarp under the described thermo-biomechanical coupling conditions were compared to confirm the  
268 accuracy of the above developed extended FE model.

269 *Experimental method:* Firstly, five tomatoes were selected and stored in the climate chamber at 5 °C  
270 temperature with 70% relative humidity for one hour. Then, five pericarp samples with the arc length × width  
271 × thickness of 46 mm × 8 mm × 4.51 mm were prepared using a self-developed double-blade cutter from a  
272 fruit in the climate chamber. A small pre-crack (length × width: 3 × 0.5 mm) was made near the bottom in the  
273 middle of radial section using a thin blade according to the National Standard GB / T 7732–2008 (Fig.2G).  
274 Subsequently, after each tomato pericarp sample was prepared, it was taken out from the climate chamber  
275 immediately and placed on the texture analyzer (Model: Universal TA, Tenge instrument technology Co., Ltd.,  
276 China) for three-point bending testing (Fig. 2G). The loading displacement was 1.2 mm. Subsequently, the

277 temperature in the climate chamber was increased by 5 °C every hour and, the three-point bending experiments  
278 on the pericarp sample stored at 10 °C, 15 °C, 20 °C, or 25 °C were performed, and the corresponding loading  
279 displacement was 2.4 mm, 3.6 mm, 4.8 mm, and 6 mm, respectively. During the test, the probe loads the  
280 pericarp sample vertically downward from the middle of two support points at a speed of 1 mm/s. The probe  
281 loading force - pericarp deflection curve data was recorded in real-time, and the crack propagation length on  
282 the front view - pericarp deflection curve was extracted using a similar method to that of Liu et al. (2022). At  
283 each storage temperature, five pericarp samples were used to repeat the three-point bending test, and the probe  
284 loading force and crack propagation length at each temperature are the mean  $\pm$  standard deviation for 5 samples.

285 *FE simulation method:* The material properties of the FE model were defined based on the No. 2 in Table  
286 1. The maximum principal stress (failure stress) and fracture energy of the exocarp and mesocarp at different  
287 temperatures were deduced using the corresponding equations in Table 1. In addition, the temperature solution  
288 obtained in Section 2.2.1.2 was imported into the three-point bending FE model as a predefined temperature  
289 field instead of the temperature load. A vertically downward displacement load of 6 mm from the loading probe  
290 was applied to the midpoint of the pericarp between two support points instead of the force load and the three-  
291 point bending simulation was performed. Lastly, the probe loading force - pericarp deflection curve and the  
292 crack propagation length - pericarp deflection curve were extracted from the simulation results.



293

294 **Fig. 2** Experiment and simulation of the heat transfer and three-point bending of pericarp. (A) Geometric model  
 295 of the tomato pericarp, (B) FE model of the tomato pericarp, (C) 12 positions on the fruit equatorial section, (D)  
 296 Temperature measurement method, (E) Geometric model of three-point bending test system, (F) FE model of  
 297 three-point bending test system, (G) Three-point bending experiment.

298 **2.2.2.3 Simulation**

299 Corresponding to the Section 2.2.1.3, the simulations were designed in two scenarios (Table 1), namely  
 300 the temperature of the exocarp surface increases (1) uniformly or (2) non-uniformly per hour. Each temperature  
 301 solution obtained in Section 2.2.1.3 was imported into the three-point bending FE model as a predefined  
 302 temperature field instead of the temperature load for simulation in this section. Subsequently, a 6 mm  
 303 downward displacement load was applied to the midpoint of the pericarp between two support points, and 12  
 304 thermo-biomechanical coupling three-point bending FE simulations were then performed.

305 **Table 1** The setting of the heat transfer- and biomechanical parameters in the FE simulations

No. of simulation	Ripening stage	Temperature gradient	Thermo-biomechanical parameters
1	$RS_1$	$5\text{ }^\circ\text{C} - 13\text{ }^\circ\text{C}, \Delta T_i = 2$	$E_{ex} = -0.240 T + 22.610, E_{me} = -0.005 T + 0.771$ $\sigma_{maxex} = -0.017 T + 2.137, \sigma_{maxme} = -0.0003 T + 0.063$
2		$5\text{ }^\circ\text{C} - 25\text{ }^\circ\text{C}, \Delta T_i = 5$	$G_{fex} = -0.031 T + 5.134, G_{fme} = -0.010 T + 2.334$ $K_{ex} = 0.001 T + 0.429, K_{me} = 0.0005 T + 0.483$ $C_{ex} = 13.52 T + 4155.9, C_{me} = 5.42 T + 4870.2$
3		$5\text{ }^\circ\text{C} - 41\text{ }^\circ\text{C}, \Delta T_i = 9$	$\rho_e = 1.01 \times 10^3, \rho_m = 0.99 \times 10^3, \nu_{ex} = 0.37, \nu_{me} = 0.35$
4	$RS_2$	$5\text{ }^\circ\text{C} - 13\text{ }^\circ\text{C}, \Delta T_i = 2$	The tissue mechanical parameters at $RS_2$ was lower 20% than that at $RS_1$ ;
5		$5\text{ }^\circ\text{C} - 25\text{ }^\circ\text{C}, \Delta T_i = 5$	$K_{ex} = 0.001 T + 0.429, K_{me} = 0.0005 T + 0.483$ $C_{ex} = 13.52 T + 4155.9, C_{me} = 5.42 T + 4870.2$
6		$5\text{ }^\circ\text{C} - 41\text{ }^\circ\text{C}, \Delta T_i = 9$	$\rho_e = 1.01 \times 10^3, \rho_m = 0.99 \times 10^3, \nu_{ex} = 0.37, \nu_{me} = 0.35$
7	$RS_1$	$5\text{ }^\circ\text{C} - 13\text{ }^\circ\text{C},$ $\Delta T_1 = \Delta T_2 = 1, \Delta T_3 = 2, \Delta T_4 = 4$	$E_{ex} = -0.240 T + 22.610, E_{me} = -0.005 T + 0.771$ $\sigma_{maxex} = -0.017 T + 2.137, \sigma_{maxme} = -0.0003 T + 0.063$
8		$5\text{ }^\circ\text{C} - 25\text{ }^\circ\text{C}, \Delta T_1 = 2,$ $\Delta T_2 = 4, \Delta T_3 = 6, \Delta T_4 = 8$	$G_{fex} = -0.031 T + 5.134, G_{fme} = -0.010 T + 2.334$ $K_{ex} = 0.001 T + 0.429, K_{me} = 0.0005 T + 0.483$ $C_{ex} = 13.52 T + 4155.9, C_{me} = 5.42 T + 4870.2$
9		$5\text{ }^\circ\text{C} - 41\text{ }^\circ\text{C}, \Delta T_1 = 6,$ $\Delta T_2 = 8, \Delta T_3 = 10, \Delta T_4 = 12$	$\rho_e = 1.01 \times 10^3, \rho_m = 0.99 \times 10^3, \nu_{ex} = 0.37, \nu_{me} = 0.35$
10	$RS_2$	$5\text{ }^\circ\text{C} - 13\text{ }^\circ\text{C},$ $\Delta T_1 = \Delta T_2 = 1, \Delta T_3 = 2, \Delta T_4 = 4$	The tissue mechanical parameters at $RS_2$ was lower 20% than that at $RS_1$ ;
11		$5\text{ }^\circ\text{C} - 25\text{ }^\circ\text{C}, \Delta T_1 = 2,$ $\Delta T_2 = 4, \Delta T_3 = 6, \Delta T_4 = 8$	$K_{ex} = 0.001 T + 0.429, K_{me} = 0.0005 T + 0.483$ $C_{ex} = 13.52 T + 4155.9, C_{me} = 5.42 T + 4870.2$
12		$5\text{ }^\circ\text{C} - 41\text{ }^\circ\text{C}, \Delta T_1 = 6,$ $\Delta T_2 = 8, \Delta T_3 = 10, \Delta T_4 = 12$	$\rho_e = 1.01 \times 10^3, \rho_m = 0.99 \times 10^3, \nu_{ex} = 0.37, \nu_{me} = 0.35$

306 **2.3 Post-processing analysis**

307 For each FE simulation result, the crack propagation length, area, and volume in the exocarp and mesocarp  
 308 models during the bending test were extracted by the ABAQUS post-processing plug-in Polaris\_CrackGeo

309 program (Star Polaris team, China). The pericarp model consists of the exocarp and mesocarp, so the total  
 310 crack propagation length in the pericarp model is the sum of the crack propagation lengths in the exocarp and  
 311 mesocarp models (Eq. 6). Similarly, the total crack propagation area and volume in the pericarp model are the  
 312 sum of the crack propagation areas and volumes in the exocarp and mesocarp models (Eq. 7 and Eq. 8; Liu et  
 313 al., 2022), respectively.

$$314 \quad L_t = \sum_{i=1}^n l_{ie} + \sum_{i=1}^f l_{im} \quad (6)$$

$$315 \quad S_c = 2 \left( \sum_{i=1}^k s_{ie} + \sum_{i=1}^m s_{im} \right) \quad (7)$$

$$316 \quad V_c = \sum_{i=1}^k (V_{iexafter} - V_{iexbefor}) + \sum_{i=1}^m (V_{imeafter} - V_{imebefor}) \quad (8)$$

## 317 2.4 Statistical analysis

318 The data extracted from the simulation results in No.1 ~ 6 were analyzed by multiple linear regression in  
 319 SAS software (version 9.2, SAS Institute, Cary, NC, USA) for predicting tomato pericarp cracking  
 320 susceptibility when the temperature of exocarp surface increased uniformly, and the significance level was set  
 321 to 0.05 ( $p = 0.05$ ). Firstly, the temperature gradient, fruit ripeness and pericarp deflection (at the midpoint)  
 322 were set as independent variables. The temperature gradient having three performance values and the ripeness  
 323 having two performance values were qualitative variables. Therefore, two dummy variables “ $\Delta T_1$ ” and “ $\Delta T_2$ ”  
 324 were set for the temperature gradient and “5 - 13 °C”, “5 - 25 °C”, and “5 - 41 °C” were coded as “00”, “01”  
 325 and “10”, respectively; the ripeness stage “ $RS_1$ ” and “ $RS_2$ ” were coded as “0” and “1”, respectively. The  
 326 pericarp deflection was a quantitative variable. The crack propagation area and crack propagation volume in  
 327 the pericarp were defined as dependent variables. The multiple linear regression analyses were then performed  
 328 by backward elimination method. The data extracted from the simulation results in No.7 ~ 12 were analyzed  
 329 using the Origin software (Version: 2018, OriginLab Corp., USA) by scatter plots.

## 330 3. Results and discussions

### 331 3.1 Validation of the tomato pericarp FE model

#### 332 3.1.1 Validation of the heat transfer in tomato pericarp FE model

333 Figure 3A shows a final temperature distribution contour in the 3D pericarp heat transfer FE model when  
 334 the exocarp surface is applied to a temperature load increasing from 5 °C to 25 °C at a rate of 5 °C per hour



335 (No. 2 in Table 1). An interesting finding was that the temperature distribution in the mesocarp model ranged  
336 from 23.5 to 25 °C, while the temperatures on all exocarp elements reached 25 °C. The simulation and  
337 experiment results in the exocarp surface temperature - time curve and the mesocarp center-point temperature  
338 - time curve are shown in Fig. 3B. Obviously, the temperature on the tomato exocarp surface was slightly  
339 higher than that on the center point of the tomato mesocarp. The blue areas were the B-spline error bands of  
340 the exocarp surface temperature and the mesocarp center-point temperature during heat transfer experiment  
341 for the six tomato fruit samples, respectively. It was obvious that the simulated exocarp surface temperature -  
342 time curve and the simulated mesocarp center-point temperature - time curve were within the corresponding  
343 error band range. Indeed, the average relative error between the simulation and experimental results in the  
344 tomato exocarp surface temperature was about  $3.5 \pm 1.6 \%$ , and the average relative error between the  
345 simulation and experimental results in the temperature of the mesocarp center-point was about  $4.6 \pm 2.4 \%$ .  
346 The error between the values from the simulation and experiment can be attributed to the simplification of the  
347 tomato pericarp during the geometrical model or a slight heat loss during the transfer of the sample from the  
348 climate chamber to their infrared temperature measurement location. The above two results showed that the  
349 average relative error between the simulation and experimental data was below 5 % (Fig. 3B). Hence, the FE  
350 model has a high prediction accuracy and could be used in the following research on the heat transfer sensitivity  
351 analysis.

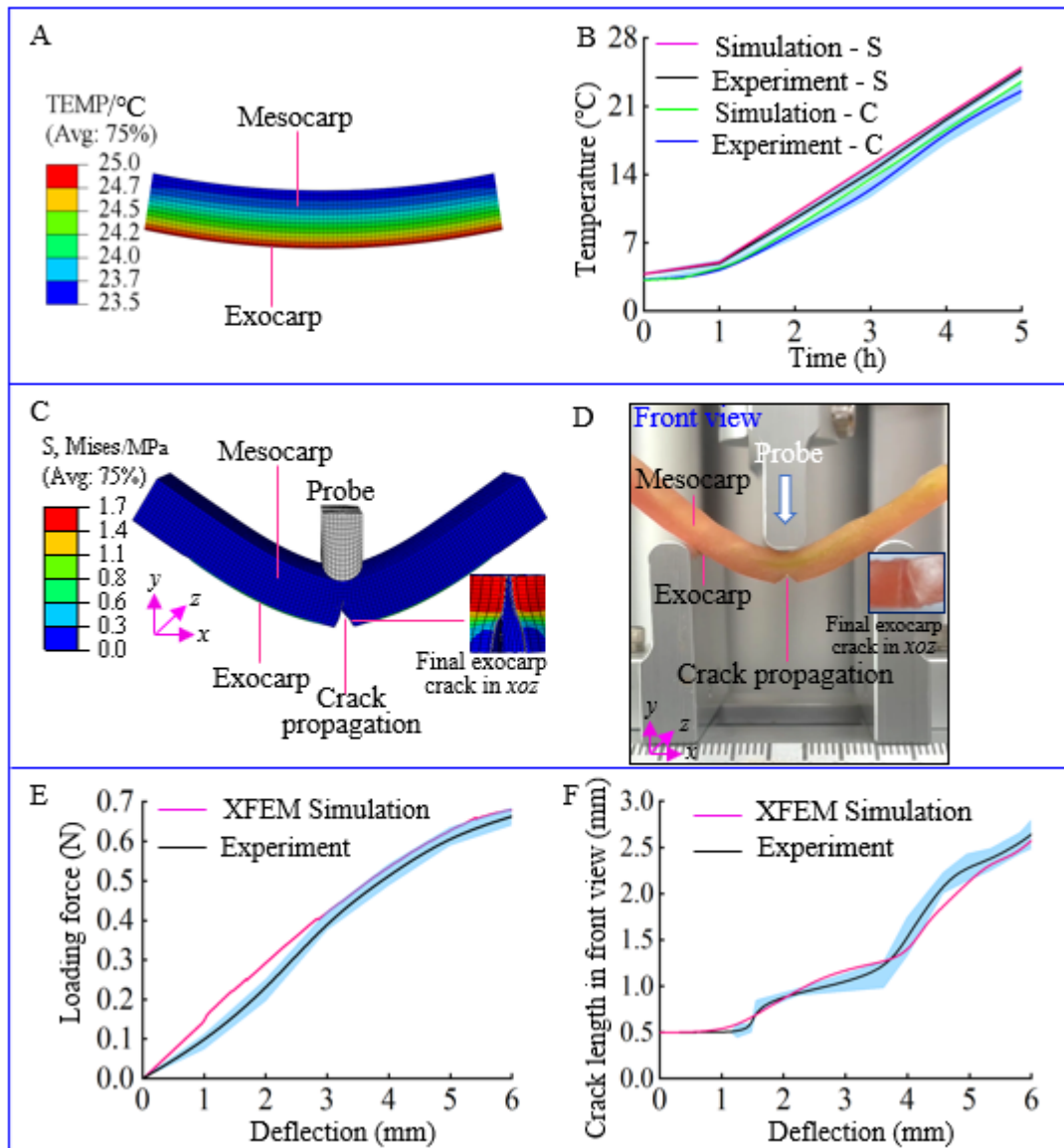
### 352 **3.1.2 Validation of the thermo-biomechanical coupling three-point bending FE model**

353 Figure 3C shows the final crack propagation state and Von mises stress distribution of a thermo-  
354 biomechanical coupling pericarp FE model when a downward displacement load of 6 mm was applied above  
355 the midpoint of the pericarp between the two support points. The von Mises stresses in the exocarp and  
356 mesocarp models varied from 0 to 1.7 MPa and 0 to 0.3 MPa, respectively. Fig. 3D is the experimental result  
357 corresponding to Fig. 3C. Whether in the simulation or experimental results, the pre-crack in the pericarp under  
358 displacement loading simultaneously propagated along arbitrary elements in the  $y$ - and  $z$ -axis directions of the  
359 exocarp and mesocarp, forming two uneven crack surfaces in 3D space. The crack propagation state between  
360 the simulation and experiment was similar (Fig. 3C and 3D).

361 Figures 3E and 3F show the experiment and simulation results of the three-point bending test on the  
362 pericarp model when the exocarp surface was subjected to a temperature load increasing from 5 °C to 25 °C  
363 at a rate of 5 °C per hour. It was found that there was a nonlinear positive correlation between the probe loading

364 force and pericarp deflection extracted from the simulation and experimental results in the thermo-  
365 biomechanical coupling three-point bending model (Fig. 3E). The blue area is a B-spline error band with the  
366 probe loading force varied with the pericarp deflection increase during the three-point bending experiment for  
367 the five investigated pericarp samples. Obviously, the simulated probe loading force - pericarp deflection curve  
368 was within the error band range (Fig. 3E). When the deflection of the tomato pericarp sample increases from  
369 0 to 6 mm, the probe loading force in the thermo-biomechanical coupling three-point bending simulation  
370 process increases from 0 to 0.68 N, whereas the average probes loading force of the five pericarp samples  
371 undergoing the three-point bending experiment increases from 0 to 0.66 N. The average relative error between  
372 the simulation results and the experimental results was  $3.0 \pm 2.3$  %. As shown in Fig. 3F, there was an  
373 approximate nonlinear positive correlation between the crack propagation length and pericarp deflection  
374 extracted from the simulation and experimental results. The blue area is the B-spline error band of the average  
375 crack propagation length varied with the increased pericarp deflection on the front view of the pericarp sample  
376 during three-point bending experiment for five pericarp samples. It is apparent that the simulated crack  
377 propagation length - pericarp deflection curve on the front view of the pericarp sample was within the error  
378 band (Fig. 3F). The crack in the front view in the simulation process of the three-point bending pericarp model  
379 expanded from 0.5 to 2.58 mm when a vertical downward displacement of 6 mm was applied on the pericarp  
380 sample. During the experiment, the crack propagation of the pre-cracks in the front view of the five pericarp  
381 samples increased from 0.5 to 2.64 mm, and the average relative error between the simulation and experiment  
382 results was  $4.3 \pm 2.3$  %.

383 To sum up, the final crack propagation state in the pericarp, the probe loading force - pericarp deflection  
384 curve, and the crack propagation length - pericarp deflection curve of the three-point bending experiment  
385 approximated the simulation result values. Therefore, the established FE model had a high prediction accuracy,  
386 and could be used in the following prediction of the tomato pericarp cracking susceptibility.



387

388 **Fig. 3** Validation of the heat transfer FE model and the three-point bending FE model. (A) Temperature  
 389 distribution contour of pericarp; (B) Temperature - time curves in the heat transfer experiment and simulation,  
 390 the blue area is the B-spline error band (Mean  $\pm$  Standard deviation) of experiment result, Simulation - S and  
 391 Experiment - S represent the exocarp surface temperature in simulation and experiment, Simulation - C and  
 392 Experiment - C represent the mesocarp center-point temperature in simulation and experiment; (C) Final  
 393 crack status and Von Mises stress distribution; (D) Crack propagation in front view; (E) Probe loading force -  
 394 deflection curves in the experiment and XFEM simulation, the blue area is the B-spline error band (Mean  $\pm$   
 395 Standard deviation) of the experiment results; (F) Crack length on front view - deflection curves in experiment  
 396 and XFEM simulation, the blue area is the B-spline error band (Mean  $\pm$  Standard deviation) of the experiment  
 397 results.

### 398 3.2 Sensitivity of the heat transfer and three-point bending FE model to temperature and ripening stage

#### 399 3.2.1 Sensitivity of the heat transfer and three-point bending FE model to fruit temperature

400 Figure 4-A1 shows the exocarp surface temperature - time curve under three temperature gradient levels  
401 when the tomato exocarp surface temperature uniformly increases. Obviously, the exocarp surface temperature  
402 under each temperature gradient completely reached the set surface temperature value. Figure 4-A2 shows the  
403 temperature - time curve at the center-point of the tomato mesocarp obtained from the simulation of pericarp  
404 heat transfer under three temperature gradients when the surface temperature of the tomato pericarp increased  
405 uniformly. It was found that the heat transfer rates in the pericarp tissue model under three temperature  
406 gradients were 1.99, 4.78, and 8.50, respectively. Noticeably, there was a linear (uniform) positive correlation  
407 between the mesocarp center-point temperature and time. Moreover, the greater the temperature gradient on  
408 the exocarp surface the higher was the temperature on the mesocarp center-point with the increase of heat  
409 transfer time. These results indicated that, with the increase of sunlight radiation time (Vidyarthi et al., 2019),  
410 the tomato fruit surface in the greenhouse absorbs more heat, and the heat transfer rate in the pericarp tissue is  
411 faster and the mesocarp center-point has a greater temperature.

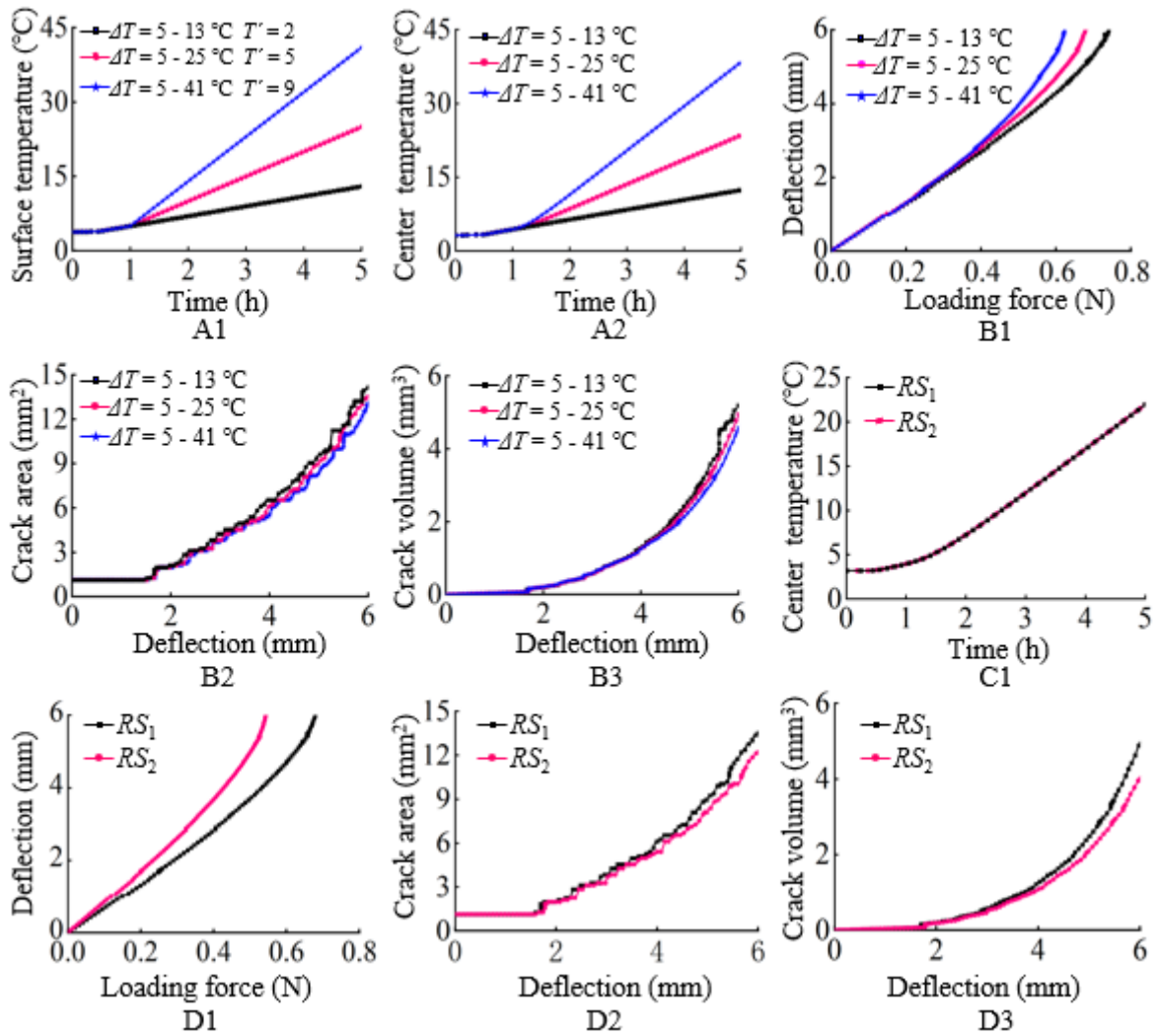
412 Figures 4-B1, B2, and B3 show the probe loading force - pericarp deflection curve, pericarp crack  
413 propagation area - pericarp deflection curve, and crack propagation volume - pericarp deflection curve in the  
414 thermo-biomechanical coupling three-point bending FE simulation under three temperature gradients when  
415 the temperature applied to the tomato exocarp surface uniformly increased. In the thermo-biomechanical  
416 coupling three-point bending simulation, the deflection deformation at the middle position of the pericarp  
417 sample showed a linear and then nonlinear increase trend with the increase of the probe loading force (Fig. 4-  
418 B1). When the probe loading force was less than 0.4 N, no obvious influence by the temperature gradients of  
419 the exocarp surface on the probe loading force - pericarp deflection behavior could be observed. When the  
420 probe loading force was greater than 0.4 N, a clear influence by the temperature gradients of the exocarp  
421 surface on the probe loading force - pericarp deflection behavior could be observed. The pericarp deflection  
422 deformation increased with increasing temperature gradient. When the probe loading force of the thermo-  
423 biomechanical coupling three-point bending model was 0.5 N, the pericarp deflections of the pericarp model  
424 at the temperature gradient of 5 - 41 °C were 1.07 and 1.15 times more than those of 5 - 25 °C and 5 - 13 °C,  
425 respectively. In the thermo-biomechanical coupling three-point bending simulation, the crack propagation area  
426 and crack propagation volume on the pericarp model showed a nonlinear increase trend from slow to fast with  
427 the increase of deflection deformation at the midpoint of the pericarp (Fig. 4-B2 and B3). The temperature  
428 gradients of the exocarp surface had a gradual apparent influence on the crack propagation area and volume of  
429 the pericarp XFEM model with the increased deflection deformation in the middle of the pericarp. The larger

430 the temperature gradient on the exocarp surface, the smaller the crack propagation area and volume of the  
431 pericarp model. When the deflection in the middle of the pericarp of the thermo-biomechanical coupling three-  
432 point bending model was 6 mm, the crack propagation area and volume of the pericarp of the three-point  
433 bending thermo-biomechanical coupling model at the temperature gradient of 5 - 13 °C were 1.28 and 1.72,  
434 1.19 and 1.49 times more than that at the temperature gradient of 5 - 25 °C and 5 - 41 °C, respectively. The  
435 reason behind this might be that there was obvious difference in the elastic modulus of the pericarp at different  
436 temperatures. The lower the temperature of any pericarp element, the greater the elastic modulus of the pericarp  
437 (Khanal, 2017). This resulted in a faster crack propagation speed on the XFEM models. Therefore, under the  
438 same pericarp deflection, smaller temperature gradient induces larger final crack propagation area and volume.  
439 This result indicated that the temperature gradient on the tomato exocarp surface has an important effect on  
440 the tomato pericarp cracking susceptibility. Sunlight causes a rise in the fruit exocarp surface temperature,  
441 which also intensifies the plant transpiration process, water absorption in the mesocarp and swelling. This  
442 results in an increased pressure on the exocarp exerted by the tomato mesocarp, causing a higher intercellular  
443 porosity, thereby reducing the hardness and strength of the tomato pericarp and weakening the anti-cracking  
444 ability of the tomato pericarp (Khadivi-Khub, 2015). Therefore, a larger temperature gradient on the tomato  
445 exocarp surface results in a greater deflection deformation in the middle of the pericarp XFEM model, which  
446 increases the cracking susceptibility of the tomato pericarp.

### 447 **3.2.2 Sensitivity of the heat transfer and three-point bending FE model to fruit ripening stage**

448 Figure 4-C1 shows the center-point temperature - time curve at two ripening stages under the same  
449 temperature gradient conditions. Figures 4-D1, D2, and D3 show the probe loading force - pericarp deflection  
450 curve, pericarp crack propagation area - pericarp deflection curve, and crack propagation volume - pericarp  
451 deflection curve in the thermo-biomechanical coupling three-point bending FE simulation at two ripeness  
452 stages under the same temperature gradient, respectively. In the thermo-biomechanical coupling three-point  
453 bending simulation, the deflection of the pericarp central axis shows a nonlinear increase trend with the  
454 increased probe loading force (Fig. 4-D1). The ripeness stages of the tomato fruit had a gradual obvious  
455 influence on the deflection deformation at the pericarp middle position of the thermo-biomechanical coupling  
456 three-point bending model with the increase in the probe loading force. Moreover, the higher ripening stage of  
457 tomato fruit resulted in a smaller deflection deformation in the pericarp model. When the probe loading force  
458 of the thermo-biomechanical coupling three-point bending model was 0.5 N, the pericarp deflection at  $RS_7$  was

459 1.34 times more than that at  $RS_2$ , which may be attributed to the significant differences in biomechanical  
460 parameters, such as elastic modulus of pericarp at different ripeness stages. The higher the ripening period of  
461 tomato fruit is, the smaller the elastic modulus and stiffness of tomato pericarp are (Matas et al., 2005), and  
462 the easier it is to deform under the same probe loading force. The pericarp crack propagation area and crack  
463 propagation volume show a nonlinear increase trend with increased pericarp deflection (Fig. 4- D2 and D3).  
464 When the deflection in the middle of the pericarp of the three-point bending thermo-biomechanical coupling  
465 model was 6 mm, the pericarp crack propagation area and volume at the ripening stage of  $RS_7$  were 1.15 times  
466 and 1.48 times more than those at  $RS_2$ , respectively. The observed trend may be explained by the fact that  
467 higher elastic modulus of the pericarp results in faster crack propagation speed on the XFEM model. Indeed,  
468 under the same deflection deformation, a lower ripeness of the tomato fruit resulted in a faster crack  
469 propagation speed and larger final crack propagation area and volume (Li et al., 2021; Liu et al., 2022).  
470 Therefore, the effect of ripeness on the elastic modulus of the tomato pericarp directly affects the cracking  
471 sensitivity of the tomato pericarp. Indeed, higher ripeness level of the tomato fruit makes the fruit less  
472 resistance to crack.



473

474 **Fig. 4** Sensitivity analysis of the heat transfer FE model and the three-point bending FE model. (A1,  
 475 A2) Sensitivity of the heat transfer FE model to temperature, (B1, B2, B3) Sensitivity of the three-  
 476 point bending FE model to temperature, (C1) Sensitivity of the heat transfer FE model to fruit ripening  
 477 stage, (D1, D2, D3) Sensitivity of the three-point bending FE model to fruit ripening stage.

478

### 3.3 Prediction of the cracking susceptibility of tomato pericarp

479

#### 3.3.1 Prediction of the cracking susceptibility of the tomato pericarp under a uniform increase in

480

#### temperature

481

Eqs. (9 - 11) show the multiple linear regression mathematical models with the loading peak force,  
 482 pericarp crack propagation area, and crack propagation volume as dependent variables, respectively. The  
 483 results of multiple linear regression analysis showed that when there was a uniform increase in temperature on  
 484 the tomato exocarp surface, three independent variables: temperature gradient, fruit ripeness, and pericarp

485 deflection (at the midpoint) had significant effects on three dependent variables: loading peak force, pericarp  
486 crack propagation area, and crack propagation volume ( $p < 0.05$ ). In descending order, the factors affecting the  
487 cracking susceptibility of the tomato pericarp include pericarp deflection, ripeness stage, and temperature  
488 gradient. In the three-point bending simulation, when the pericarp deflection increased by 1 mm, the loading  
489 peak force, crack propagation area, and crack propagation volume increased by 0.09 N, 1.03 mm<sup>2</sup>, and 2.60  
490 mm<sup>3</sup>, respectively. In the three-point bending thermo-biomechanical coupling simulation, the peak load force,  
491 crack propagation area and crack propagation volume at the  $RS_1$  ripening stage was 0.08 N, 0.62 mm<sup>2</sup>, and  
492 1.18 mm<sup>3</sup> higher than those at the  $RS_2$  ripening stage, respectively. When the temperature gradient was “5 -  
493 13 °C”, the peak load, crack propagation area, and crack propagation volume of pericarp were the largest,  
494 which were 0.03 N, 0.26 mm<sup>2</sup>, and 0.56 mm<sup>3</sup> higher than those at the temperature gradient of “5 - 25 °C”.  
495 When the temperature gradient was “5 - 41 °C”, the load peak force, crack propagation area, and crack  
496 propagation volume of pericarp were the smallest, which were 0.03 N, 0.32 mm<sup>2</sup>, and 0.60 mm<sup>3</sup> smaller than  
497 those at “5 - 25 °C”, respectively.

498 The greater the temperature gradient applied to the tomato exocarp surface, the greater was the  
499 temperature gradient on the tomato mesocarp center-point after heat transfer and the smaller was the peak load  
500 force required for the pericarp cracking. A temperature gradient on the exocarp surface always exists during  
501 tomato development, because of the temperature difference in a certain area of the tomato fruit surface from  
502 morning to noon under the sunlight radiation or the temperature difference between the sun-exposed surface  
503 and the shaded surface in a fruit. Therefore, when the environment temperature difference between noon and  
504 morning is large, the tomato fruit is more likely to crack. At the same initial temperature, when the temperature  
505 on the sun-exposed fruit surface increased faster than that on shaded air temperature, the temperature gradient  
506 of the pericarp on the sun-exposed fruit surface was greater than that on the shaded air temperature, and the  
507 fruit on the sun-exposed fruit surface was more prone to crack. These results of a higher cracking rate of the  
508 tomato pericarp with the increased temperature gradient corroborate with the experimental results of De  
509 Oliveira et al. (2015) for a tomato peel, Koumanov (2015) Cherry peel, El-Rhman (2010) pomegranate peel,  
510 and Li et al. (2022) for a navel orange peel. These findings are understandable because when the temperature  
511 gradient of the plant growth environment caused by light is large, the water absorption rate and transpiration  
512 of the fruit increase. Therefore, the fruit absorbs more water, expands, and the accumulation rate of soluble  
513 solids in the fruit accelerates. These processes, directly increase the pressure exerted by the tomato mesocarp  
514 on the exocarp, and thus affect its cracking rate (Correia et al., 2018; Singhet al., 2020; Li et al., 2021). During



515 the warming process, both exocarp and mesocarp tissues swell with heat transfer from the exocarp to mesocarp,  
516 but the difference in swelling degree between exocarp and mesocarp tissue cells also affects the fruit cracking  
517 rate (Khadivi Khub, 2015; Correia et al., 2018; Mustafa et al., 2019). In addition, intense light may also burn  
518 the pericarp and damage the integrity of the pericarp and cuticle, which is one of the reasons for the increasing  
519 probability of fruit cracking (Li and Chen, 2017). Therefore, it is effective to reduce the cracking rate by timely  
520 shading the tomato fruit during growth to regulate the greenhouse sunlight intensity and the environmental  
521 temperature gradient of the fruit.

522 The effect of ripening stage on the cracking susceptibility for the tomato pericarp is similar to that reported  
523 for other fruit systems, such as nectarine peel (Gilbert et al., 2007), tomato peel (Dominguez et al., 2012),  
524 jujube peel (Li et al., 2019), and litchi peel (Fahima et al., 2019). That is, the cracking rate of the fruit is  
525 significantly positively correlated with the ripening stage and the higher the fruit degree of ripeness, the smaller  
526 is the peak load force required for peel cracking, and the easier the fruit is to crack. This could be attributed to  
527 the difference in the contents of protopectin, cellulose, hemicellulose, boron, and calcium ions in the pericarp  
528 of fruits at different ripeness stages (Khadivi-Khub, 2015; Li and Chen, 2017; Mustafa et al., 2019), resulting  
529 in different elastic-plastic biomechanical characteristics (such as elastic modulus, fracture stress and strain) of  
530 the pericarp or epidermis (Bargel and Neinhuis, 2005; Sirisomboon et al., 2012), thus affecting the cracking  
531 susceptibility of fruits.

532 In this study, a thermo-biomechanical coupling three-point bending test was conducted to simulate the  
533 cracking of the tomato pericarp induced by the increase of internal swelling pressure by applying displacement  
534 load (i.e. loading deflection) from inside to outside under a greenhouse environment. Research shows that  
535 greater load deflection increases the likelihood of the fruit pericarp to crack; that is, the greater the fruit  
536 swelling pressure, the more likely is the fruit to crack (Koumanov, 2015; Bruggenwirth and Knoche, 2017;  
537 Schumann and Knoche, 2020). Similarly, the larger the load deflection, the larger the pericarp crack  
538 propagation area and volume. Thus the greater the fruit swelling pressure, the greater the final size of the  
539 pericarp crack. This could be explained by the fact that when the internal swelling pressure of tomato mesocarp  
540 cells continues to increase, the corresponding external exocarp is tensed, which easily results in pericarp  
541 cracking (Khadivi-Khub, 2015; Liu et al., 2022). The cell swelling pressure of fruit is related to the water  
542 absorption rate of the fruit, and the size of the induced water potential and hydraulic conductivity during fruit  
543 growth are the main factors affecting the location and extension of fruit cracking (Hossain and Nonami, 2012;  
544 Khadivi-Khub, 2015). These results have shown that different irrigation methods should be adopted according

545 to the weather conditions and the water demand for fruit during growth to effectively reduce the fruit cracking  
546 rate.

$$547 \quad F = 0.09 L_d - 0.06 T_1 - 0.03 T_2 - 0.08 RS + 0.18 \quad (9)$$

$$548 \quad S_c = 1.03 L_d - 0.58 T_1 - 0.26 T_2 - 0.62 RS - 1.71 \quad (10)$$

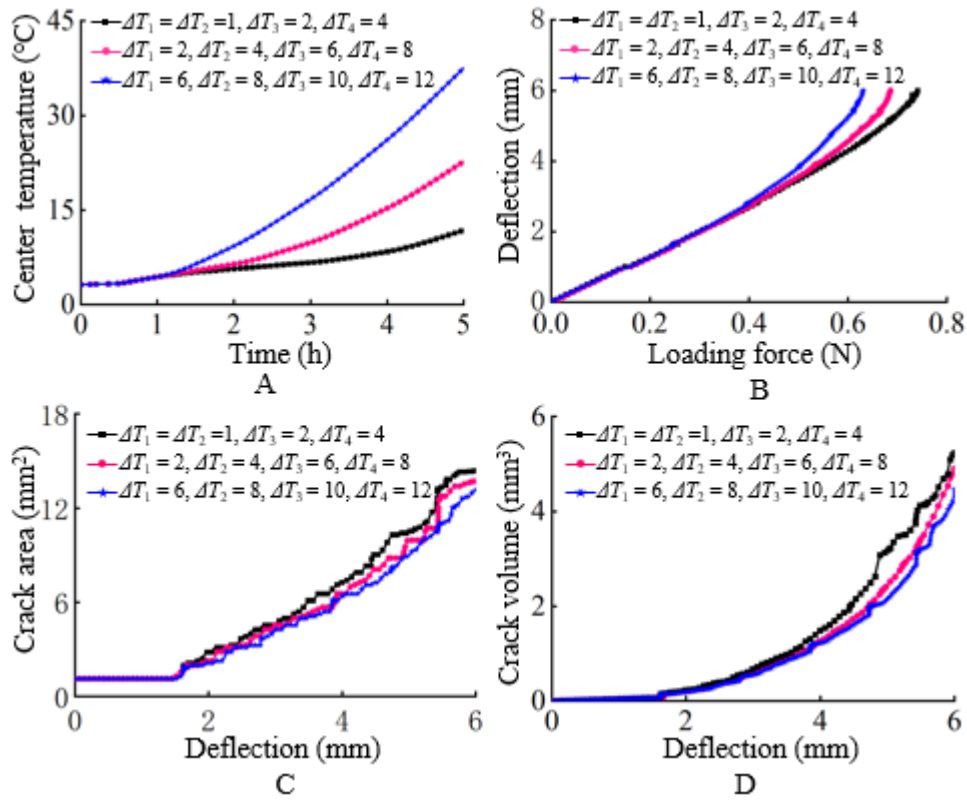
$$549 \quad V_c = 2.60 L_d - 1.16 T_1 - 0.56 T_2 - 1.18 RS - 3.49 \quad (11)$$

### 550 **3.3.2 Prediction of the cracking susceptibility of the tomato pericarp under a non-uniform increase in** 551 **temperature**

552 Figure 5A shows the heat transfer effect of the tomato pericarp when the temperature of its surface  
553 increases at a non-uniform rate. Obviously, the mesocarp center-point temperature increased with the non-  
554 linear (non-uniform) increasing exocarp surface temperature. Figure 5B shows the deflection deformation of  
555 the tomato pericarp when the temperature its surface increases at a non-uniform rate. Indeed, the variation in  
556 the pericarp's deflection deformation among different non-uniform temperature gradient levels in the thermo-  
557 biomechanical coupling three-point bending FE model became increasingly apparent with an increase in the  
558 probe loading force. Under the same loading force, the pericarp deflection was positively correlated with the  
559 hourly tomato pericarp surface temperature. These findings also suggest that a larger hourly temperature  
560 increase in the tomato pericarp surface would result in less resistance of the fruit to surface cracking. This is  
561 presumably attributed to the decrease in the elastic modulus of pericarp tissue because of the direct relationship  
562 between the hourly rising temperature with the increasing temperature of the tomato pericarp components (Li  
563 et al., 2021). Therefore, under the same probe loading force, the ability of the pericarp to resist cell expansion  
564 and rupture is weakened and the fruit easily cracks at higher rate of temperature increase. Figures 5C and 5D  
565 show the crack propagation area and crack propagation volume in tomato pericarp FE model when the  
566 temperature of tomato exocarp surface increase at a non-uniform rate. Obviously, the crack propagation area  
567 and crack propagation volume in pericarp showed a nonlinear increasing trend with the increased pericarp  
568 deflection. Similar to the findings by Li et al. (2021) on tomato pericarp cracking, for the same loading  
569 deflection, the higher the hourly increasing temperature on the pericarp surface, the smaller the crack  
570 propagation area and crack propagation volume. As previously mentioned, the pericarp elastic modulus  
571 decreases with increasing hourly temperature, which causes a slower pericarp crack propagation speed on the  
572 XFEM model and a smaller ultimate fracture propagation area and volume under the same loading deflection.

573 In this section, the effect of the non-uniform temperature increase rate on the tomato exocarp surface at

574 the temperature gradient of 5 - 25 °C on the heat transfer, deflection deformation, and crack propagation of the  
575 pericarp was taken as an example to compare with the above-mentioned uniform increased rate of the  
576 temperature conditions. The results of the heat transfer simulation showed that when the heat transfer time was  
577 5 h, the mesocarp center-point temperature was slightly lower than the exocarp temperature. The mesocarp  
578 center-point temperature under the non-uniform temperature increasing rate was 0.86 times lower than that  
579 under the uniform temperature increasing rate. Furthermore, in the thermo-biomechanical coupling three-point  
580 bending FE model, when the probe loading force was 0.6 N, the pericarp deflection at the non-uniform  
581 temperature increasing rate was 0.95 times that at the uniform temperature increasing rate, and the latter was  
582 easier to crack than the former. When the pericarp deflection was 6 mm, the crack propagation area and volume  
583 of the pericarp under non-uniform temperature increasing rate were 1.01 and 1.02 times than those under the  
584 uniform temperature increasing rate, respectively. This suggests that the temperature of the pericarp elements  
585 under the non-uniform temperature increasing rate is lower than that under the uniform temperature increasing  
586 rate. Under the same probe loading force, the pericarp deflection deformation is large and the tomato pericarp  
587 is easy to crack under the uniform temperature increasing rate applied to the exocarp surface. This could be  
588 explained by the difference in chemical and physiological responses of the tomato pericarp tissue materials  
589 affected by uniform and non-uniform temperature increasing rate, which leads to different elastic-plastic  
590 biomechanical behaviors. Non-uniform temperature increasing rate may affect fruit growth rate and  
591 physiological performance (Chen et al., 2017; Wu et al., 2017; Reich et al., 2018). Plant physiological behavior  
592 is highly sensitive to temperature changes (He et al., 2020). These results provide substantial evidence that in  
593 the growing season, the non-uniform and rapid increase in daytime temperature tends to inhibit the growth of  
594 vegetation (Wang et al., 2009). An environmental temperature of fruit growth with an appropriate non-uniform  
595 temperature increasing rate could help to reduce the cracking rate of fruit.



596

597 **Fig. 5** Heat transfer, deflection and crack propagation of the tomato pericarp under a non-uniform increase in the  
 598 temperature. (A) Heat transfer in the pericarp, (B) Loading force vs pericarp deflection curve, (C) Pericarp  
 599 deflection vs crack area curve, (D) Pericarp deflection vs crack volume curve.

600 **4. Conclusion**

601 The cracking susceptibility of tomato pericarp subjected to uniform and non-uniform temperature  
 602 increasing rate at different ripening stages were investigated by the thermo-biomechanical coupling three-point  
 603 bending FE simulation and validated with experiments using a texture analyzer. The results showed that the  
 604 FE model of tomato pericarp is capable of reproducing the experimental probe loading force - pericarp  
 605 deflection curve and the crack propagation length - pericarp deflection curve in deflection deformation up to  
 606 6 mm. Although there was no obvious difference between the two fruit ripeness stages when the temperature of the  
 607 tomato exocarp surface increased uniformly, the temperature in the center of the tomato pericarp depended clearly  
 608 on the temperature gradient in the exocarp surface. As the pericarp deflection increased, the crack propagation area  
 609 and volume in the pericarp FE model became gradually more sensitive to the temperature gradient and fruit ripeness  
 610 stage. Three mathematical models were found to have the ability to quantitatively predict the cracking susceptibility  
 611 of tomato pericarp at two ripening stages under different environmental temperature gradients. The results showed  
 612 that the pericarp deflection was the most significant factor affecting the cracking susceptibility of the pericarp,

613 followed by the ripeness stage and temperature gradient. Furthermore, the temperature at the center point of  
614 the mesocarp subjected to a non-uniform temperature increase was slightly lower than that from a uniform  
615 increase in the temperature, which indicated that the tomato pericarp has a better anti-cracking ability when its  
616 surface was subjected to a non-uniform temperature increase rate when compared to conditions with a uniform  
617 rate of increase in the temperature. If the temperature gradient applied on the exocarp surface reflects the  
618 difference between the temperature of the sun-exposed and shaded fruit surfaces, it is further illustrated that  
619 the high temperature difference between two fruit surfaces increases the cracking susceptibility of fruit. This  
620 study provides a valuable method for reducing fruit cracking by controlling different greenhouse environment  
621 (sunlight and temperature) at different ripening stages and thus contributes to fruit waste reduction and fruits  
622 economics improvement. This emerging thermo-biomechanical coupling extended-FE technology can also be  
623 applied to other fresh fruits for improving their economics of production while reducing waste.

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#### 629 **Author contributions**

630 Huijie Liu: Conceptualization, Methodology, Investigation, Data curation, Writing – original draft. Zhiguo Li  
631 and Jianping Li: Conceptualization, Investigation, Writing – review & editing, Supervision. Pengfei Zhu: Data  
632 curation, Writing – original draft. Fideline Tchuenbou-Magaia: Writing – review & editing, Supervision. Jiheng Ni:  
633 Writing – review & editing, Supervision.

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